

Regulatory Exams for Representatives Overview

This preparation programme is designed to adequately prepare the individual for RE5 exams to be written with an FSB Authorised Exam Body.

The Curriculum is aligned to the specifications of the FSB (Financial Services Board) covering all Knowledge Criteria's as stated in the relevant board notices. The learning material is delivered in the INSETA prescribed format. The Programme consists of 8 modules with the option of 3 delivery modes:

1. Workshop by way of lectures, group discussions, case studies and role plays conducted over 1 ½ days;
2. Delivery via distance learning;
3. And e- learning.

Curriculum Topics

1. Execute the Required Actions as a Representative in Terms of the FAIS Act
2. Contributing Towards Obtaining an FSB License and Relevant Legislation
3. Specific Obligations in Terms of the Relevant Code of Conduct and Relevant Legislation
4. Applying Knowledge of Financial Products and Relevant Legislation
5. Consequences of Acting Fraudulently and Relevant Legislation
6. Aligning Duties with Compliance the Requirements and Relevant Legislation
7. Record Keeping and Relevant Legislation
8. Money Laundering and Relevant Legislation

Assessment of Participants

- All assessments are conducted by way of blooms theory
- Upon completion of the programme a pre-admission assessment (mock assessment) will conducted to test for readiness to attempt the RE5
- Individual Group Exercises & Tasks